A Protocol for Concurrency Control in Real-Time Replicated Databases System

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Abstract— Data Replication is the technique of using multiple copies of a server can help database systems meet the stringent temporal constraints of current time-critical applications, especially Internet-based services to resource for superior availability and act to development of high Priority performance concurrency control mechanisms. Each copy is called a replica. A requirement for realizing the benefits of replication, however, is the development of high performance concurrency control mechanism. Current applications, such as Web-based services, electronic commerce, mobile telecommunication system. The main goal of replication [5] is to improve availability and consistency since a service is available even if some of its replicas are not. This helps mission critical services, such as many financial systems or reservation systems, where even a short outage can be very disruptive and expensive. Therefore, the major issue is to develop efficient replica concurrency control protocols that are able to tolerate the overload of the distributed system. In fact, if the system is not designed to handle overloads, the effects can be catastrophic and some primordial transactions of the application can miss their deadlines.

We propose a new Concurrency Control protocol in replicated distributed environment which is especially for High Priority point and firm real time database system. A Concurrency control in real time replicated databases (CCRTRD) mechanism uses S2PL (Static Two Phase Locking) for deadlock free environment. It also includes High Priority given to a cohort after receiving PREPARE message from its coordinator. Also with some more assumptions like sending an extra message in execution phase but after completion of execution at local copy which is described later in this paper the proposed mechanism has a significant increased performance over O2PL and MIRROR in decreasing execution time of the current transaction and it also decreases the waiting time of transactions in pass the time queue.

Keywords— Replicated database, Distributed Real Time Databases systems, two-phase commit, Concurrency control

I. INTRODUCTION

The Existing applications, such as Web-based services, electronic commerce, mobile telecommunication system, etc., are distributed in nature and manipulate time-critical databases. In order to enhance the performance and the availability of such applications, one of the main techniques is to replicate data on multiple sites of the network. Therefore, the major issue is to develop efficient replica concurrency control protocols that are able to tolerate the overload of the distributed system. In fact, if the system is not designed to handle overloads, the effects can be catastrophic and some primordial transactions of the application can miss their deadlines. While many efforts have been made in the management of transactions for replicated databases in the real-time context [5,6,7], no work deals with protocols that manage distributed real-time databases and simultaneously control the overload of the system. Some researches have dealt with the scheduling of tasks under overload conditions when the real-time system is centralized such as in [5] distributed as in [2]. Distributed real time database systems (DRTDBSs) can be defined as database systems that support real time transactions. A distributed database is a single logical database that is spread physically across computers in multiple locations that are connected by a data communications network. The network must allow the users to share the data i.e. user at location A must be able to access the data at location B. They are used for a wide spectrum of applications such as air traffic control, stock market trading, banking, telemedicine etc. In DRTDBS, prior to, they have resulted in schemes wherein either the standard notions of database correctness are not fully supported or the maintenance of multiple historical versions of the data is required, or the real-time transaction semantics and performance metrics pose practical problems. Further, none of these studies have considered the optimistic two-phase locking (O2PL) protocol [4] although it is the best-performing algorithm in conventional (non-realtime) replicated database systems [4]. Transactions in a real time database are classified into three types, viz. hard, soft and firm. The classification is based on how the application is affected by the violation of transaction time constraints. This paper reports efficient solutions for some of the issues important to the performance of replicated firm deadline based DRTDBS [9,10]. The performance of DRTDBS depends on several factors such as specification of transaction’s deadline, priority assignment policy, scheduling transactions with deadlines, time conscious buffer and locks management, commit procedure etc. One of the primary performance determinants is the policy used to schedule transactions for the system resources. The resources that are typically scheduled are processors, main memory, disks and the data items stored in database. On possible goal of replication is to have replicas behave functionally like no replicated servers. This goal can be stated precisely by the concept of one-copy serializability, which extends the concept of serializability to a system where multiple replicas are present. An execution is one-copy serializable if it has the same effect as a serial execution on a one-copy database. We would
like a system to ensure that its executions are one-copy serializable. In such a system, the user is unaware that data is replicated. There are two approaches to sending a transaction’s updates to replicas: synchronous and asynchronous. In the synchronous approach, when a transaction updates a data item, say \( x \), the update is sent to all replicas of \( x \). These updates of the replicas execute within the context of the transaction. This is called synchronous because all replicas are, in effect, updated at the same time. Although sometimes this is feasible, often it is not, because it produces a heavy distributed transaction load. In particular, it implies that all transactions that update replicated data have to use two-phase commit, which entails significant communications cost. Fortunately, looser synchronization can be used, which allows replicas to be updated independently. This is called asynchronous replication, where a transaction directly updates one replica and the update is propagated to other replicas later. In a replicated environment means when there is more than one copy of a data item distributed on different sites then there is one major issue how to update replicas of data item on different site with efficient concurrency control mechanism. While few works have been done in area of replica concurrency control like 2PL, O2PL and OCC but they are not up to the standard of present requirement.

In this paper we focus on concurrency control and one copy serializability in replicated firm real time database system. In firm real time system the major issue is deadline of completion means if the transaction misses its deadline then the transaction is of no usage, hence it is killed if the transaction misses its deadline.

II. ASSOCIATED EFFORT

Concurrency control algorithms and real-time conflict resolution mechanisms for RTDBS have been studied extensively (e.g. [10, 11]). However, concurrency control for replicated DRTDBS. An algorithm for maintaining consistency and improving the performance of replicated DRTDBS is proposed in [20]. In this algorithm, a multiversion technique is used to increase the degree of concurrency. Replication control algorithms that integrate real-time scheduling and replication control are proposed in [6,7]. These algorithms employ Epsilon-serializability (ESR) which is less stringent than conventional one-copy-serializability.

In contrast to the above studies, our work retains the standard one-copy-serializability as the correctness criterion and focuses on the locking based concurrency control protocols. We also include an investigation of the O2PL algorithm which has not been studied before in the real-time context. The performance of the classical distributed 2PL locking protocol (augmented with the priority abort (PA) and priority inheritance(PI) conflict resolution mechanisms) and validation-based algorithms was studied in for real-time applications with “soft” deadlines operating on replicated DRTDBS[6].the performance of OCC is good only under light transaction loads. In [4], a conditional priority inheritance mechanism is proposed to handle priority inversion.

This mechanism capitalizes on the advantages of both priority abort and priority inheritance in real-time data conflict resolution. It outperforms both priority abort and priority inheritance when integrated with two phase locking in centralized real-time databases. However, the protocol assumes that the length (in terms of the number of data accesses) of transactions is known in advance which may not be practical in general, especially for distributed applications. In contrast, our state-conscious priority blocking and state-conscious priority inheritance protocols resolve real-time data conflicts based on the states of transactions rather than their lengths.

In this section we will concentrate on the work that is under consideration for purposed work and we will also discuss some related replica concurrency control protocols. we review the 2PL [4] and O2PL [4] distributed CC protocols, and present our new CRER protocol. All three protocols belong to the ROWA (“read one copy, write all copies”) category with respect to their treatment of replicated data. In the following description, we assume that the reader is familiar with the standard concepts of distributed transaction execution [4, 8,].

III. CONCURRENCY CONTROL IN REAL-TIME DATABASE SYSTEMS

A Real-Time Database Systems (RTDBS) processes transactions with timing constraints such as deadlines [12]. Its primary performance criterion is timeliness, not average response time or throughput. The scheduling of transactions is driven by priority order. Given these challenges, considerable research has recently been devoted to designing concurrency control methods for RTDBSs and to evaluating their performance. Most of these methods are based on one of the two basic concurrency control mechanisms: locking or optimistic concurrency control (OCC).

In real-time systems transactions are scheduled according to their priorities. Therefore, high priority transactions are executed before lower priority transactions. This is true only if a high priority transaction has some database operation ready for execution. If no operation from a higher priority transaction is ready for execution, then an operation from a lower priority transaction is allowed to execute its database operation.

Therefore, the operation of the higher priority transaction may conflict with the already executed operation of the lower priority transaction. In traditional methods a higher priority transaction must wait for the release of the resource. This is the priority inversion problem presented earlier. Therefore, data conflicts in concurrency control should also be based on transaction priorities or criticalness or both. Hence, numerous traditional concurrency control methods have been extended to the real-time database systems. In the following sections recent and related work in this area is presented.

A. Phase Locking

In classical two-phase locking protocol, transactions set read locks on objects that they read, and these locks are later upgraded to write locks for the data objects that are updated. If a lock requested is denied, the requesting transaction is blocked until the lock is released. Read locks Call be shared. while
write locks are exclusive. For real-time database systems, two-phase locking needs to be augmented with a priority-based conflict resolution scheme to ensure that higher priority transactions are not delayed by lower priority transactions. In High Priority scheme, all data conflicts are resolved in favor of the transaction with the higher priority. When a transaction requests a lock on an object held by other transactions in a conflicting lock mode.

B. Distributed Two Phase Locking

In this algorithm as described in [1] when a transaction arrives at a site in distributed system it is divided in subtransactions known as cohorts and processes which update replicas of data item are known as replica updaters. If a request by a cohort is a read lock on a data item then any lock is not required on the replicas at remote sites but if the request is a write lock then write locks are required on all the replicas of the dataitem. In this protocol write locks are obtained as the transaction executes, with the transaction blocking on a write request until all of the copies of the data items to be updated have been successfully locked by a local cohort and its remote updater. Only the data locked by a local cohort is updated in the data processing phase of transaction. Remote copies locked by updaters are updated after those updaters have received list of items to be updated with the PREPARE message during the first phase of commit protocol. Write locks are only released after they are committed and are aborted.

C. Distributed Optimistic Two Phase Locking

There is another protocol as described in [12] in distributed environment for replica concurrency control as O2PL. In this algorithm when a cohort requests for a write lock, it is immediately given to it if lock is available. However it defers requesting write locks on replicas at remote site in the second phase of commit protocol.

In this protocol when a cohort updates a dataitem it requests for write locks on replicas after it has received PREPARE message from its master site. Actually what happens that after getting PREPARE message from its coordinator the cohort sends a PREPARE message to all of its remote updaters of the corresponding dataitem. With the PREPARE message it also sends list of the dataitem to be updated and the processes used in updating the dataitems. After that remote updaters obtain the locks on data item to be updated and sends COMMIT message to the cohort after completing the update. Now after getting COMMIT message from replica updaters the cohort sends PREPARED message to its coordinator. Since the locks are deferred to the second phase of commit protocol there is a chance of both block and abort also due to arriving of higher priority transaction than the executing one.

IV. THE CCRTRD PROTOCOL

We now present our new replica concurrency control protocol called CCRTRD augments. The O2PL protocol with a novel, simple to implement, state based conflict resolution mechanism called state-conscious priority blocking. In this scheme, the choice of conflict resolution method is a dynamic function of the states of the distributed transactions involved in the conflict. A feature of the design is that acquiring the state knowledge does not require inter-site communication or synchronization, nor does it require modifications of the two-phase commit protocol. Two real-time conflict resolution mechanisms are used in CCRTRD. Even though S2PL [4] is a deadlock free mechanism but it slows down the concurrent processing of multi transactions. This is due to locking of all the data till the end of the commit phase. Also if a higher priority transaction arrives at a site than executing one then current transaction is aborted and lock is made available to higher priority one. This makes the wastage resources. Hence we propose here a new mechanism with augmentation of S2PL. In classical two-phase locking protocol [14,15], transactions set read locks on objects that they read, and these locks are later upgraded to write locks for the data objects that are updated. If a lock requested is denied, the requesting transaction is blocked until the lock is released. Read locks can be shared, while write locks are exclusive. For real-time database systems, two-phase locking needs to be augmented with a priority-based conflict resolution scheme to ensure that higher priority transactions are not delayed by lower priority transactions. In High Priority scheme [13], all data conflicts are resolved in favor of the transaction with the higher priority. When a transaction requests a lock on an object held by other transactions in a conflicting lock mode, if the requester’s priority is higher than that of all the lock holders, the holders are restarted and the requester is granted the lock; if the requester’s priority is lower, it waits for the lock holders to release the lock. In addition, a new read lock requester can join a group of read lock holders only if its priority is higher than that of all waiting write lock operations. This protocol is referred to as 2PL-HP [13]. It is important to note that 2PL-HP loses some of the basic 2PL algorithm’s blocking factor due to the partially restart-based nature of the High Priority scheme. We will use here a term High Priority (HPP) with Block (BK)/ Donot Abort (DAB) which means if a cohort reaches its High Priority point (HPP) than it will not be aborted against a higher priority transaction at that site. It means DAB is used here. And if a lower priority transaction demands a lock then it will be blocked against a higher priority executing one. It means BK is used here.

The proposed mechanism is:

- **HPP of a cohort:**
  
  A cohort reaches its HPP after sending a PREPARE message to its replica updaters in its execution phase i.e. in first phase of 2PC.

- **HPP of a replica updater:**
  
  A replica updater reaches HPP after gaining locks on needed data items. By this mechanism some significant improvements can be noted in S2PL. Since after HPP a cohort has a less probability of abortion hence a blocked transaction can borrow data from executing one. It means waiting and executing time of blocked transaction will get reduced and less probability to access which is very needed in a firm RTDBMS. Also by sending PREPARE message to its replica updaters as shown in the waiting time of a cohort between sending
PREPARE message to its updaters and receiving COMMIT message from them will get reduced. Hence over all time of execution of transaction will get reduced.

**Algorithm:**

For a cohort:

HPP=F;

EXTfinish (execution finished) =f;

If (message=INITIATE COHORT)

{  
Start execution of cohort;

EXTfinish=T;

}

If (EXTfinish=T)

{  
Send PREPARE message to its replica updaters;

HPP=T;

HPP Send WORK DONE message to its coordinator ;

}

For a replica updater:

If (message=PREPARE && lock obtained=T)

{  

HPP=T;

After execution send COMMIT message to its cohort;

}

V. PROFICIENCY OF CCRTRDS

We have addressed the problem of managing firm-real time database system that access replicated data in a distributed system that may be replicated. For this environment in which many current time-critical applications operate, specially Web-based services, we proposed a CC protocol called CCRTRD that can be easily integrated in current systems to handle processors without altering the database consistency which is the main objective of DRTDBSs. The main idea of the protocol is to associate an importance value to each submitted transaction in order to find out as

- By this proposed mechanism a deadlock free environment is provided.
- The waiting time of blocked transaction is reduced.
- Also the execution time of current transaction is reduced.
- Wasting of resources is minimized.
- Easy implementation with integration of S2PL and 2PC.

- Decreases the waiting time of transactions in wait queue.

VI. CONCLUSIONS

At this point we have in exploit the difficulty of access replicated data in firm RTDBS mainly concurrency control in real time replicated database problem in conflict mode. This proposed mechanism can be easily integrated and implemented in current systems. However if we talk about advantages of this proposed CCRTRD protocol over other protocols like O2PL, OCC and MIRROR then this mechanism will lead in some areas like probability of deadlock is decreased. Also by sending message, the waiting time of cohort after sending PREPARE message to its updaters and receiving COMMIT message from them is decreased than in MIRROR due to which whole time of Execution phase and Commit phase will get decreased. Also in this paper it is said that a blocked transaction can borrow data item after reaching the High Priority point by the executing transaction, in this way the waiting time of transaction in queue will get decreased.

Hence from above discussion we recommend this CCRTRD Protocol over other protocols in replicated environment of firm RTDBS.

**REFERENCES**


